

FORM PTO-1390 (Modified)  
(REV 10-95)

U.S. DEPT. OF COMMERCE PATENT AND TRADEMARK OFFICE

U.S. DEPT. OF COMMERCE PATENT AND TRADEMARK OFFICE

DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

JEL 3000 PCT

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

09/380312

INTERNATIONAL APPLICATION NO.

PCT/JP98/02544

INTERNATIONAL FILING DATE

June 8, 1998

PRIORITY DATE CLAIMED

June 27, 1997

TITLE OF INVENTION

RESIN MOLDED TYPE SEMICONDUCTOR DEVICE AND A METHOD OF MANUFACTURING THE SAME

APPLICANT(S) FOR DO/EO/US

Masanori MINAMIO Yoshihiko MORISHITA Fumito ITOH  
Satoru KONISHI Yulchiro YAMADA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ A copy of the International Search Report (PCT/ISA/210).
8. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 13 to 18 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.  
A **SECOND** or **SUBSEQUENT** preliminary amendment.
16. ☐ A substitute specification.
17. ☐ A change of power of attorney and/or address letter.
18. ☐ Certificate of Mailing by Express Mail
19. ☒ Other items or information:

Letter to PTO re: Rule 53 including names, addresses and citizenship of inventors

U.S. APPLICATION NO. (IF KNOWN) <b>09738031</b>	INTERNATIONAL APPLICATION NO. <b>PCT/JP98/02544</b>	ATTORNEY'S DOCKET NUMBER <b>JEL 30763 PCT</b>
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20. The following fees are submitted:				<b>CALCULATIONS PTO USE ONLY</b>	
<b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :</b>					
<input type="checkbox"/>	Search Report has been prepared by the EPO or JPO .....	\$840.00			
<input type="checkbox"/>	International preliminary examination fee paid to USPTO (37 CFR 1.482) .....	\$670.00			
<input type="checkbox"/>	No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) .....	\$760.00			
<input checked="" type="checkbox"/>	Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO .....	\$970.00			
<input type="checkbox"/>	International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) .....	\$96.00			
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				<b>\$970.00</b>	
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)). <input type="checkbox"/> 20 <input type="checkbox"/> 30				<b>\$0.00</b>	
<b>CLAIMS</b>	<b>NUMBER FILED</b>	<b>NUMBER EXTRA</b>	<b>RATE</b>		
Total claims	15 - 20 =	0	x \$18.00	<b>\$0.00</b>	
Independent claims	9 - 3 =	6	x \$78.00	<b>\$468.00</b>	
Multiple Dependent Claims (check if applicable). <input type="checkbox"/>				<b>\$0.00</b>	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$1,438.00</b>	
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable). <input type="checkbox"/>				<b>\$0.00</b>	
<b>SUBTOTAL =</b>				<b>\$1,438.00</b>	
Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492 (f)). <input type="checkbox"/> 20 <input type="checkbox"/> 30 +				<b>\$0.00</b>	
<b>TOTAL NATIONAL FEE =</b>				<b>\$1,438.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input type="checkbox"/>				<b>\$0.00</b>	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$1,438.00</b>	
				<b>Amount to be:</b>	<b>\$</b>
				<b>refunded</b>	
				<b>charged</b>	<b>\$</b>

- ☒ A check in the amount of **\$1,438.00** to cover the above fees is enclosed.
- ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. **19-4375** A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

**STEVENS, DAVIS, MILLER & MOSHER, L.L.P.**  
1615 L STREET, NW  
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P.O. BOX 34387  
WASHINGTON, D.C. 20043-4387

  
SIGNATURE

James E. Ledbetter

NAME

28,732

REGISTRATION NUMBER

THE APPLICANT HEREWITH PETITIONS  
THE PTO TO EXTEND THE TIME FOR  
RESPONSE AS REQUIRED TO MAKE  
ATTACHED DOCUMENT TIMELY FILED.  
PLEASE CHARGE THE COST THEREOF  
TO DEPOSIT ACCOUNT 19-4375

August 21, 1999

DATE

STEVENS DAVIS MILLER & MOSHER, L.L.P.

514 Rec'd PCT/PTO - 1 AUG 1999

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PATENT, TRADEMARK, COPYRIGHT  
AND RELATED INTELLECTUAL PROPERTY CAUSES

August 31, 1999

ESTABLISHED 1930

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Honorable Commissioner of  
Patents and Trademarks  
Washington, DC 20231

Sir:


Attached is a new Patent Application which is being filed without a signed Declaration under the provisions of 37 CFR §1.53. The names, addresses and citizenship of the inventors are as follows:

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Citizenship:	JAPAN

The Declaration will be filed within the time specified for such filing.

Respectfully submitted,

STEVENS, DAVIS, MILLER & MOSHER, L.L.P.

  
James E. Ledbetter  
Registration No. 28,732

Date: August 31, 1999

JEL/krl

ATTORNEY DOCKET NO. JEL 30763 PCT

THE APPLICANT HERewith PETITIONS  
THE PTO TO EXTEND THE TIME FOR  
RESPONSE AS REQUIRED TO MAKE THE  
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TO DEPOSIT ACCOUNT 19-4375

STEVENS DAVIS MILLER & MOSHER, L.L.P.